



The International Spanish Warmblood Horse Association Handbook

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PLEASE NOTE:

As a new pure breed of horses this has been a massive undertaking.

The Handbook of the Register is still an evolving document.

We will welcome any comments or report of any duplications or inconsistencies you may encounter in the Handbook.

Thank you.



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SECTION 1- RULES OF REGISTRATION

Rule 1. Definitions for Section 1- Rules of Registration *and* Section 2- Competition Awards Program

“**Appendix Section A**” refers to a horses of specific breeds which are beneficial to the advancement of the Spanish Warmblood as referred to in **rule 4.5**, Stud Book, and **rule 35.3** Blood Requirements

“**Appendix Section B**” refers to a horse with certain characteristics that are beneficial to the advancement of the Spanish Warmblood as referred to in rule **4.6**

“**Appendix Stud Book**” refers to the section of the “stud book” where horses who meet the criteria for Appendix sections A or B are eligible to register.

“**Blood Approval**” means to verify that the horse meets the blood requirements (rule xx) for registration, or if the blood requirements are not fulfilled entirely, the committee has approved the horse because the horse has other qualities valuable to the Spanish Warmblood breed.

“**Breeder**” is the owner or lessee of a mare at the time she was served to the stallion.

“**Breeding License**” is an endorsement awarded by the ISWHA to a horse who has passed inspection and whose offspring have passed inspection, thereby satisfying the requirements of the Breeding Permit.

“**Breeding Permit**” is a permit granted by the ISWHA to a horse who has passed inspection but has not yet produced enough offspring who have passed their inspections.

“**Breeding year**” refers to January 1 through December 31 for those located North of the Equator, or July 1 through June 30 for those located South of the Equator.



“CAP Secretary” is the person who is in charge of maintaining and updating the Competition Awards Program records, producing award certificates, verifying show results, and publishing points and awards earned on the website at least twice per year.

“Chair” means the Chairperson (president) of the Committee of Management and may also include the Founding Chairperson

“Closed book” means that the registry will no longer accept new horses under that specific section of the stud book.

“Committee” means the “Committee of Management” of the International Spanish Warmblood Horse Association Incorporated.

“Evaluation” refers to the process of evaluating the quality of a horse’s conformation and type against the breed profile for the purpose of Registration. Evaluations are conducted by the Registrar and one member from the Inspection Panel.

“Foundation Spanish Warmblood” refers to the original horses in the “Yardah Stud” Spanish Warmblood breeding program which began in 1985 run by Marjorie J. Armstrong, Australia. **See Rule xx**

“Inspection” means a visual inspection of a horse to assess its quality, conformation, and type against the breed profile. Horses are inspected via submitted video and photos and graded.

“Inspection Panel” means the group of 2 or more people who are employed by, and will act on behalf of, the Association to ensure that horses who are applying for certain registrations and approvals meet the breed profile and Inspection Criteria (**Rule xx**).

“Inspector” means a member of the Inspection Panel.

“ISWHA” means the International Spanish Warmblood Horse Association Incorporated (Western Australia, 2011). The ISWHA is the governing body of the Stud Book for the registry of the Spanish Warmblood Horse.

“Light Horse Breed” means any horse or pony over 12 hands in height with a refined body type.

“Licensed” means any horse who has obtained a breeding license with the ISWHA.

“On-Site Inspection” refers to a pre-arranged appointment to have visiting 2 or more Inspectors provide an Inspection at a pre-determined location.

“Main Stud Book” refers to the section of the “stud book” where the “Pure Spanish Warmblood”, “Spanish Warmblood”, and “Supplementary Spanish Warmblood” horses are eligible to register. The main stud book also contains the register of the Foundation Spanish Warmblood which is a closed book.

“Other breed” refers to any horse or pony who is not a Pure Spanish Warmblood, Spanish Warmblood, Supplementary Spanish Warmblood, or Appendix Spanish Warmblood, **See rule XX**

“Owner” is the person who is currently registered with the ISWHA as owning the horse. The owner of a foal is the person who owns or leases the mare at the time of foaling.

“Pure Spanish Warmblood” refers to a horse who is the result of a breeding between two Spanish Warmblood horses with sufficient Foundation Spanish Warmblood DNA as referred to in **rule 23.2 (a)**

“Record Owner/Record Lessee” refers to the person who is currently on file with the ISWHA as being the horse’s owner or lessee.

“Registrar” is an employee of the ISHWA who is in charge of maintaining the stud book and ensuring that all new applicants for registration are correctly filled, filed, and served.

“Spanish Blood” or “Spanish Breed” are collective terms used to group the following breeds: Andalusian, Lippizaner, Lusitano.



“**Spanish Warmblood**” refers to a horse who is either a second generation cross from foundation stock to foundation stock, or a cross between a Pure Spanish Warmblood and Supplementary Spanish Warmblood or an Appendix Section A as referred to in **rule 23.2 (b)**

“**Sport Horse**” Refers to any horse who is between 14 and 17.2 hands high who is capable of competing in dressage and/or jumping. See **Rule xx**

“**Supplementary Spanish Warmblood**” refers to a horse who is a cross between a Spanish Breed and a Thoroughbred, who has been registered with the ISWHA.

“**Stud Book**” refers to the register where the information for all horses registered with the association is recorded. There are 2 Sections to the Stud Book: The Main Stud Book and the Appendix Stud Book.

Rule 2 BURDEN OF PERSUASION

2.1 Assignment of Burden of Persuasion for Registration Eligibility: In all proceedings concerned with or affecting the registrations and records of ISWHA, and in all disciplinary actions, the burden of resolving any doubt as to the true parentage, identification of a horse or qualification for registration, shall be upon the applicant, owner, lessee or other member(s) or affiliate member(s) involved. The determination, decision and action of the Committee upon all such questions shall be final and binding upon all parties. As the above specified party or parties have the burden of persuasion, by failure to present relevant evidence concerning such questions to ISWHA or at a hearing scheduled to resolve the question, they shall be deemed to have waived their right to later present such evidence to a court of law, if they seek judicial review of ISWHA's action.

2.2 Parentage Testing: Genetic tests may be required as the Committee determines, including, but not limited to, questions of true parentage or identification of horses. Taking into consideration the results of such tests, and other information as may be available, the Committee may authorize such corrections in the records as may be determined necessary or appropriate. All Pure Spanish Warmblood and Spanish Warmblood horses are required to have DNA testing for registration purposes.

2.3 Conditional Issuance: The certificate evidencing registration in the Stud Book is issued on the following condition, which shall be printed on the face thereof:

“The certificate is issued in reliance on the written application signed and attested by the individual specified by ISWHA rules; and upon the express condition that ISWHA has the privilege to correct and/or cancel the certificate for cause under its rules and regulations.”

2.4 Registration of Offspring: In order to protect innocent, third party owners of offspring that are the product of breedings of previously eligible parents taking place prior to date of cancellation of the certificate of a parent or parents, such offspring are eligible for registration; however, a previously issued certificate shall be recalled to designate the pedigree behind the cancelled parent/s as “unknown”.

Rule 3 SPANISH WARBLOOD BREED PROFILE

3.1 The following are distinguishing characteristics the Spanish Warmblood:

- (a) Height:** The **Pure Spanish Warmblood or Spanish Warmblood** shall be at least 15 hands high, and no more than 16.2 hands high, measured at the wither, by their 2nd birthday. **Appendix Section A and B** shall be at least 14 hands high, and no more than 17 hands high, measured at the wither, by their 2nd birthday.
- (b) Type:** The Spanish Warmblood Breed has a modern sport-horse type with strength, stamina, true paces with good rhythm, and elastic backs which produce powerful yet soft movement. The Spanish Warmblood has compact power, flexibility & good range of motion, balance and speed. They can lighten their front end to engage, jump and spin. The Spanish Warmblood is built for exceptional collection and has a natural ability for piaffe, jumping, and Spanish walk. The Spanish Warmblood is generous, honest, and successful in competition. The Spanish Warmblood should be strong and sturdy, yet fine and graceful.
- (c) Head and Neck:** The Spanish Warmblood Breed has a fine, elegant head with well spaced and large eyes and



well defined facial features. The neck is well arched, graceful and set high on the withers.

(d) Shoulder and Chest: The Spanish Warmblood breed has a deep, broad chest with a well sloped shoulder and a strong forearm. The range of motion of the shoulders is great- and important for jumping ability and *Haute École* movements. The large expressive step of the Spanish walk comes easily to these horses.

(e) Withers and Ribcage: The Spanish Warmblood Breed has well defined withers and a well sprung ribcage with good heartgirth and lung capacity.

(f) Back and Croup: The Spanish Warmblood Breed has a well angled and strong croup, and elastic backs which produces powerful yet soft movement. The Spanish Warmblood has strength for jumping, remarkable collection, and Haute École.

(g) Limbs and hooves: The limbs of the Spanish Warmblood are clean, strong, and well formed for work and soundness. Knees should be flat, strong, and seamlessly tied into the forearm and cannon bone. Slightly offset knees are permitted. Substantial cannons flow into strong fetlock joints and medium length pasterns. The hooves are strong and dense with a slightly convex wall profile. The circumference of the front cannon bones, measured half way between the knee and the pastern must be no less than 7 inches and no more than 10 inches at two years of age. **(j)**

Temperament and Manageability: The Spanish Warmblood has great natural presence, tremendous generosity and personality, all matched with manageability. Manageability is a breed characteristic that they must have, whether stallion, mare or gelding. From birth they must show willingness to engage with people. They can be handled by a variety of people with different levels of expertise. When in training they stay focused and learn easily. They are kind and affectionate workers with tremendous capacity to develop relationships with individual riders. While their presence makes them larger than life and they retain their spirit, they are compliant.

(k) Athletic Ability Bred with solid talent for the Olympic disciplines, Spanish Warmbloods have the character, scope and athleticism to compliment the amateur and offer a rewarding partnership for the professional. They are a pleasure to handle in a variety of situations, and amenable to training. They are pleasant to ride and competitive because of their expressive movement and strong elastic backs, which they offer generously. The Spanish Warmblood is a non-gaited breed. Spanish Warmbloods exhibit a true, swinging walk, a soft trot with ample impulsion and cadence, a powerful canter with lightness in the forehand, and a strong gallop. Horses who exhibit an additional gait are only permitted into the registry if they can pass the physical inspection and prove to have clean gaits at the walk, trot, and canter.

3.2 Conformation Requirements

(a) All horses must have biomechanically correct conformation and be well proportioned for soundness and athletic ability. All horses applying for registration, approval, licensing, or inspection must be free from the following defects: ewe neck, sway back, long back, flat croup, curb, ringbone, bog spavin, limbs that toe in by more than 15 degrees, limbs that toe out by more than 15 degrees on the front and 25 degrees on the hind, “straight” hocks (insufficient angulation of hocks), sickle hock (excessive angulation of the hock), cow hock (hocks too close together), calf knee (“behind at the knee”), parrot mouth (overbite), monkey mouth (underbite), steep/short pasterns, long pasterns, club foot, Navicular syndrome.

(b) All horses 12 years of age and under must be sound and pass a flexion test as part of the Veterinary Report as part of the Inspection requirements.

(c) Horses who are found to have any defect listed in section (a), or fail the prescribed flexion test in section (b), may still be granted registration at the discretion of the Registrar, Inspection Panel, and/or Committee. See rule XX, Inspections and Approval. In order for the horse to be accepted into the registry despite having a fault, the horse must: Be eligible for Registration by conforming to the blood requirements and/or the Breed Profile for the classification of Registration sought, AND provide either:

(i) A performance record to prove that the horse was previously sound

(ii) Veterinary records stating the nature and date of injury or illness pertaining to the



fault.

(iii) Performance records or inspection reports from their offspring indicating that the condition is not genetic.

Further examination from an additional licensed Veterinarian may be required to determine that the defect is not a hereditary condition prior to granting registration papers. See rule xx for complete details on inspection.

Rule 4 BREEDING CHART AND ACCEPTABLE MATINGS AND CROSSES

4.1 The following Breeding Chart shows the acceptable crosses for each section of the registry.

Pure Spanish Warmblood	Spanish Warmblood	Supplementary SW	Appendix Section A	Appendix Section B
PSW x PSW	Appendix A x PSW	Spanish Breed/TB crosses	Approved Specific Breeds	Approved Specific Types
PSW x SW	Appendix A x SW	By Approval Only	Appendix B x PSW or SW	Appendix A x Appendix B
SW x SW	SSW x PSW or SW		Part Bred PSW, SW, SSW	Appendix B x Appendix B

PSW= Pure Spanish Warmblood
SW= Spanish Warmblood
SSW= Supplementary Spanish Warmblood

4.2 Pure Spanish Warmblood: Any horse produced by breeding two Spanish Warmbloods who are registered and licensed by the International Spanish Warmblood Horse Association. Horses must have sufficient Foundation Spanish Warmblood DNA (rule xx)

A Pure Spanish Warmblood (PSW) is the result of any of the following matings:

- Pure Spanish Warmblood to Pure Spanish Warmblood
- Pure Spanish Warmblood to Spanish Warmblood
- Spanish Warmblood to Spanish Warmblood

If a Licensed stallion breeds a Licensed mare, the resulting foal can be registered as Pure Spanish Warmblood without an inspection. If either the sire or dam are not Licensed, then the resulting foal must pass a Foal Advisory Inspection.

4.3 Spanish Warmblood: Any horse produced by combining a Supplementary Spanish Warmblood, or Appendix Section A, with a Pure Spanish Warmblood or Spanish Warmblood. Horses must have sufficient Foundation Spanish Warmblood DNA (rule xx), meet the blood requirements (rule xx) and pass inspection.

A Spanish Warmblood (SW) is the result of any of the following matings:

- Pure Spanish Warmblood to Supplementary Spanish Warmblood
- Spanish Warmblood to Supplementary Spanish Warmblood
- Pure Spanish Warmblood to Appendix A
- Spanish Warmblood to Appendix A



4.4 Supplementary Spanish Warmblood: Open to mares and stallions, 2 years or older, who are 50-75% Andalusian, Lusitano, or Lippizaner, (crosses within the Andalusian, Lippizaner, and Lusitano are permitted,) and 25-50% Thoroughbred, who fits the breed profile **(rule xx)** and passes Inspection.

4.5 Appendix Section A: Any mature mare or stallion, 2 years or older, who fits the breed profile and passes Evaluation (rule xx), and meets the blood requirements **(rule xx)** is eligible for registration as an Appendix Section A.

Foals born as a result from any of the following matings are eligible for registration as an Appendix Section A:

- a) Pure Spanish Warmblood to Other Breed
- b) Spanish Warmblood to Other Breed
- c) Supplementary Spanish Warmblood to Other Breed
- d) Pure Spanish Warmblood to Appendix B
- e) Spanish Warmblood to Appendix B
- f) Supplementary Spanish Warmblood to Appendix B
- g) Appendix A to Appendix A

4.6 Appendix Section B: Any horse, two years or older, who fits the breed profile and passes Evaluation (rule xx) is eligible to be registered Appendix Section B

Foals born as a result from any of the following matings are eligible for registration as an Appendix Section B:

- a) Appendix A to Appendix B
- b) Appendix B to Appendix B
- c) Appendix A to Other Breed
- d) Appendix B to Other Breed

Rule 5 STUD BOOK

5.1

The ISWHA shall maintain the Stud Book (register) and shall furnish a Certificate of Registration with a Registration Number for all living animals who meet registration criteria **(rule xx, Registration Eligibility)**. The Official Stud Book of the International Spanish Warmblood Horse Association Inc. shall consist of 2 parts: the Main Stud Book, and the Appendix. All horses with an application on file at the ISWHA and which meet the requirements for registration, shall remain on record in the files of the ISWHA, and shall be referred to herein as "horse(s)".

5.2 The Main Stud Book will have Four sub-sections:

- (a) PURE SPANISH WARBLOOD
 - SPANISH WARBLOOD
 - SUPPLIMENTARY SPANISH WARBLOOD
 - FOUNDATION SPANISH WARBLOOD
- (b)
(c)
(d)



5.3 The Appendix Stud Book will have two sub-sections:

- (a) APPENDIX SECTION A
- (b) APPENDIX SECTION B

Rule 6 MANAGEMENT of the STUD BOOK

6.1 The Stud Book shall be properly maintained by the Registrar, under contract with the ISHWA, with up to date records available at all times to the Members of the Association. Management of the studbook shall include an up to date register of all horses, alive or deceased (and noted as such), as well as stallion breeding reports and records of frozen semen and frozen embryos.

6.2 Any owner or Lessee of a registered Spanish Warmblood Horse may at any time request a copy of their horse's records from the Registrar at no charge, including breeding and performance records. Replacement Registration certificates are available at the owner's expense Rule xx.

6.3 In any circumstance where the ISHWA requires endorsement by the Inspection Panel for the purpose of registration, inspection, breeding licenses, or approvals, the request will be communicated to the applicant in writing. The applicant must send the requested documents, if any, to the Registrar of the ISHWA. Once received, the Registrar will present the request to the Inspection Panel. The Inspection Panel will review the request and make a decision which will be reported to the applicant by the Registrar in writing within 60 days. If the applicant wishes to dispute the decision, the applicant must make a written request to the President (Chair) of the Committee Of Management of the ISWHA for the matter to be reviewed. The decision made by the Committee Of Management shall be final with no option to appeal.

Rule 7 REGISTRATION PROCEDURES

7.1 To register a horse the record owner or record lessee of the foal's dam at time of foaling (or, at time of breeding if this is an embryo transfer foal) is responsible for submitting a properly completed and signed registration application, a completed and signed breeder's certificate, and the correct registration fee as specified in **rule xx**.

7.2 Each registration application must be completed and signed by the owner of this foal's dam at the time of foaling (or, at time of breeding if an embryo transfer foal), by the dam's lessee (if a record of the lease is on file with ISWHA), or by a person authorized to sign for the owner or lessee (if a record of the authorization is on file with ISWHA), for the foal to be eligible for registration. In the event a frozen embryo permit is used to register a foal, the owner of the permit shall sign the registration application as the owner at time of foaling and affix the permit to the application. The frozen embryo permit satisfies the "Breeder's Certificate" on the Registration Application.

7.3 The owner of the horse is responsible for completing and submitting the application and any other forms or documents requested on the application, along with the prescribed fee (**rule xx**) to the ISWHA Registrar. The ISWHA will honor the Foal Registration Fee for Colts up to 24 months of age provided that the registry receives proof of gelding from a licensed veterinarian.

7.4 All horses applying for registration as a Pure Spanish Warmblood, Spanish Warmblood, or Supplementary Spanish Warmblood must pass Inspection, or a Foal Advisory Inspection if under 24 months of age, with a score of at least 80% to be eligible for registration. Inspections are not required if BOTH the sire and the dam are fully licensed by the ISWHA.

7.5 All horses applying for registration in the Appendix must pass an Evaluation with a score of at least 70% to be eligible for registration.

7.6 All horses must meet the Blood Requirements for the classification in which they are seeking registration. See **Rules xx-xx**.

7.7 If changes of ownership occur between the time of breeding and registration, then each change requires a properly completed and signed transfer report with correct transfer fee as specified in rule **xx**.



7.8 Once the application has been received by the Registrar and the fees have been paid, the Registrar will forward the application to the Chair and/or Inspection Panel for Evaluation, Approval and/or Inspection as required for rules **xx-xx**, “Registration Requirements”. The Registrar will then process the findings of the Evaluation, Approval and/or Inspection, and produce Registration Papers or a letter stating why Registration has been denied, to the applicant within 60 days.

7.9 The Registrar has the right to request additional photographs and/or video of the applicant horse. If the Registrar believes that the horse may exhibit a fault or unsoundness, the Registrar may require a full inspection for any horse 24 months of age or older, or a Foal Advisory Inspection for any 23 months of age or younger. See **rule xx**, management of the stud book.

7.10 The Registrar has a right to return or hold applications for Registration if:

- (a) The proper forms are not complete or clearly legible
- (b) Additional supporting documents are missing
- (c) Photos are unsatisfactory
- (d) fees are incomplete or missing

7.11 The Registrar has the right to reject applications for Registration if:

- (a) There are any doubts as to the pedigree of the applicant horse
- (b) There are irregularities in the breeding records for the applicant horse
- (c) The applicant horse does not meet the registration criteria **(rule xx)**
- (d) The breeder or owner of the horse has been suspended from the ISWHA
- (e) The horse fails Evaluation
- (f) The horse fails Inspection

7.12 If a registration application is rejected by the Registrar, the applicant will be notified and the application will be forwarded to the Inspection Panel. The Inspection Panel will review the application and may request additional documents from the horse owner. The Inspection Panel will vote to approve or reject the application. If the application is rejected, the applicant will be notified in writing immediately stating reason(s) for rejection. Registration fees are non-refundable.

7.13 The applicant may appeal the Inspection Panel’s decision by re-submitting the application, to the President (Chair) of the ISWHA. The applicant must include a letter requesting a review by the Committee and must clearly state their reason(s) why the horse should be eligible for registration. The Committee’s decision to approve or reject the application will be final and the applicant will be notified of the decision in writing, or provided with Registration Papers within 60 days.

7.14 ISWHA has the right to cancel a horse’s registration if the horse who is already registered is found to have a hereditary fault or unsoundness **(xx)** or does not exhibit the minimum requirements of the breed profile **(xx)**; unless it can be proved that the unsoundness or fault is the result of an injury post-registration or post-inspection. The ISWHA has the right to permanently cancel a breeding license if the committee agrees that the horse’s fault or unsoundness could be detrimental to the future of the breed. Any foals resulting from frozen semen or embryos from a cancelled horse will be denied registration in the main stud book but will be eligible for registration in the Appendix. These foals may apply for upgrading at two years of age and must pass a full inspection.



Rule 8 REGISTRATION REQUIREMENTS- PURE SPANISH WARBLOOD AND SPANISH WARBLOOD

8.1 Except as otherwise limited on proper compliance with the rules and regulations of ISWHA; any colt, filly, stallion, mare, gelding or spayed mare who meets the requirements for registration may be registered in the ISWHA registry as a Spanish Warmblood or Pure Spanish Warmblood. When the registration requirements are met to the satisfaction of the Registrar, and/or Inspection Panel or Committee, and fees have been paid, a registration number and certificate will be produced.

8.2 A horse will only be eligible for registration in the Main Stud Book if it has at least 25% Spanish Blood. A horse with less than 25% Spanish Blood would be eligible for the Appendix only, unless granted an approval through **Rule xx**, Blood Approval.

8.3 A horse will only be eligible for registration in the Main Stud Book if it has at least 25% Thoroughbred Blood. The registry **may** accept half of the Thoroughbred blood to be substituted with a light breed sport horse, such as Trakehner, Arabian, Welsh, Connemara, and crosses from these breeds- Blood Approval is required, **Rule xx**.

8.4 Inspection, or a Foal Advisory Inspection, is required for all Spanish Warmbloods upon applying for Registration. Horses who score less than 80% on their inspection will only be eligible for registration in the Appendix, but may be eligible for upgrading through **rule xx**.

8.5 Breeding licenses are required for all Spanish Warmblood and Pure Spanish Warmblood stallions and mares and may be applied for at any time after the horse's 2nd birthday. See **rule xx**, Breeding Licenses.

8.6 Proper registration forms must be submitted along with the prescribed fee. A complete application includes:

- (a) **APPLICATION FOR REGISTRATION FORM** The Application For Registration form must be properly completed and signed by the current owner or lessee.
- (b) **DNA AND MICROCHIP FORM OR CERTIFICATES.**
- (c) **COPY OF REGISTRATION CERTIFICATES FOR APPLICANT HORSE** If the horse is already registered with another registry.
- (d) **COPY OF REGISTRATION CERTIFICATES FOR SIRE AND DAM**
- (e) **FULL SET OF CURRENT, CLEAR, COLOR PHOTOS** as per **rule XX**
- (f) **REGISTRATION FEE** as listed on the current fee schedule, **rule XX**. The ISWHA will honor the Foal Registration Fee for Colts up to 24 months of age provided that the registry receives proof of gelding from a licensed veterinarian.
- (g) **INSPECTION APPLICATION** – horses whose sire AND dam are licensed by the ISHWA do not require inspection for registration but will require inspection to obtain a breeding license.
- (h) **INSPECTION FEE**, if an Inspection is required
- (i) **VETERINARY REPORT FORM**

8.7 Horses will be assessed by the Inspection Panel who will review the reports and photos, and decide whether or not the horse meets the Registration criteria. The applicant will be notified in writing within 60 days of the submitted application.



Rule 9 REGISTRATION REQUIREMENTS- SUPPLEMENTARY SPANISH WARBLOOD

9.1 Except as otherwise limited on proper compliance with the rules and regulations of ISWHA; any stallion or mare, two years of age or older, who meets the requirements for registration may be registered in the ISWHA registry as a Supplementary Spanish Warmblood. When the registration requirements are met to the satisfaction of the Registrar, and/or Committee, and fees have been paid, a registration number and certificate will be produced. All horses who are applying for registration in as a Supplementary Spanish Warmblood must be approved by the Registrar and /or Inspection Panel or Committee. Horses will be inspected and marked for type and conformation as per the breed profile, Rule xx. Horses must meet the blood requirements as per **rule xx.**

9.2 A horse will only be eligible for registration as a Supplementary Spanish Warmblood if it has 50-75% Spanish Blood.

9.3 A horse will only be eligible for registration as a Supplementary Spanish Warmblood if it has 25-50% Thoroughbred Blood.

9.4 Breeding licenses are required for all stallions and mares (**rule xx**) and are issued automatically upon passing inspection required for registration.

9.5 Proper registration forms must be submitted along with the prescribed fee. A complete application includes:

- (a) **APPLICATION FOR REGISTRATION FORM** The appropriate Application For Registration form must be properly completed and signed by the current owner or lessee.
- (b) **COPY OF REGISTRATION CERTIFICATES FOR APPLICANT HORSE** If the horse is already registered with another registry.
- (c) **COPY OF REGISTRATION CERTIFICATES FOR SIRE AND DAM**
- (d) **FULL SET OF CURRENT, CLEAR, COLOR PHOTOS** as per **rule XX**
- (e) **APPLICATION FOR BREEDING LICENSE** (required for all broodmares and stallions)
- (f) **DNA AND MICROCHIP FORM OR CERTIFICATES** are suggested but not required
- (g) **REGISTRATION FEE** as listed on the current fee schedule, **rule XX .**
- (h) **INSPECTION APPLICATION**
- (i) **INSPECTION FEE**
- (j) **VETERINARY REPORT FORM**

9.6 Horses will be assessed by the Inspection Panel who will review the application and photos, and decide whether or not the horse meets the Registration criteria. The applicant will be notified in writing within 60 days of the submitted application.



Rule 10 REGISTRATION REQUIREMENTS – APPENDIX SECTION A

10.1 Except as otherwise limited on proper compliance with the rules and regulations of ISWHA; any filly, colt, mare, stallion, or gelding or who meets the requirements for registration may be registered in the ISWHA registry, Appendix section A. When the registration requirements are met to the satisfaction of the Registrar, and/or Committee, and fees have been paid, a registration number and certificate will be produced. All horses who are applying for registration in the Appendix Section A must be evaluated by the Registrar who will grade the horse against the breed profile. A score of at least 70% is required. Evaluation is for the purpose of registration only—breeding licenses are required for Appendix Section A stallions, mares are exempt. Horses will be assessed and marked for type and conformation as per the breed profile, **Rule xx**. Horses must meet the blood requirements as per **rule xx**.

10.2 Horses must be at least two years of age at the time of application. Horses under three years of age may be registered as an Appendix Section A if:

- (a) Either the sire or dam is a Licensed Pure Spanish Warmblood or Spanish Warmblood in good standing with the ISWHA. Or,
- (b) BOTH the sire and dam are Registered as Appendix Section A, SSW, SW, or PSW, and in good standing with the ISWHA.

10.3 Proper registration forms must be submitted along with the prescribed fee. A complete application includes:

- (a) **APPLICATION FOR REGISTRATION FORM** The Application For Registration form must be properly completed and signed by the current owner or lessee.
- (b) **VETERINARY REPORT FORM** A qualified Veterinarian in the country of your residence must complete and sign the veterinary report form which will certify your horse as being free from physical flaws which could impede its performance or the performance of its offspring.
 - (ii) The registry will accept knees that are slightly off center, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (iii) The registry will accept slight paddling or winging, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (iv) The registry will NOT accept Mares or Stallions who exhibit Parrot Mouth or other bite defects.
 - (v) The registry will NOT accept any stallion into the Appendix Section B.
- (c) **DNA AND MICROCHIP FORM OR CERTIFICATES** are not required, but are recommended.
- (d) **COPY OF REGISTRATION CERTIFICATES FOR APPLICANT HORSE** If the horse is already registered with another registry.
- (e) **COPY OF REGISTRATION CERTIFICATES FOR SIRE AND DAM** If the horse's dam and sire are not already registered with the ISWHA then a copy of their registration certificates for their respective registries must be submitted. If either the sire or the dam is not registered, or a registration certificate cannot be produced, then the horse in question will be listed in the registry with that parent as "unknown".
- (f) **FULL SET OF CURRENT, CLEAR, COLOR PHOTOS** as per **rule XX**
- (g) **REGISTRATION FEE** as listed on the current fee schedule, **rule XX**. The ISWHA will honor the Foal Registration Fee for Colts up to 24 months of age provided that the registry receives proof of gelding from a licensed veterinarian.

10.4 Horses will be evaluated by the Registrar and one member of the Inspection Panel who will review the reports and photos, grade the horse against the breed profile, and decide whether or not the horse meets the Registration criteria. The applicant will be notified in writing within 60 days of the submitted application.



RULE 11 REGISTRATION REQUIREMENTS – APPENDIX SECTION B

11.1 Except as otherwise limited on proper compliance with the rules and regulations of ISWHA; any filly, mare, or gelding or who meets the requirements for registration may be registered in the ISWHA registry, Appendix section B. When the registration requirements are met to the satisfaction of the Registrar, and/or Committee, and fees have been paid, a registration number and certificate will be produced. All horses who are applying for registration in the Appendix Section B must be evaluated by the Registrar and will be marked for type and conformation, as per the breed profile, Rule xx. Evaluation is for the purpose of registration only- breeding licenses are not required for horses registered in Section B of the Appendix. Horses will be assessed and marked for type and conformation as per the breed profile, **Rule xx.**

11.2 Horses must be at least two years of age at the time of application.

- (a) Horses under three years of age may be registered as Appendix Section B without an evaluation if they have a parent or grandparent who is a registered with the ISWHA.

11.3 Proper registration forms must be submitted along with the prescribed fee. A complete application includes:

- (h) **APPLICATION FOR REGISTRATION FORM** The Application Form must be properly completed and signed by the current owner or lessee.
- (i) **VETERINARY REPORT FORM** A qualified Veterinarian in the country of your residence must complete and sign the veterinary report form which will certify your horse as being free from physical flaws which could impede its performance or the performance of its offspring.
 - (vi) The registry will accept knees that are slightly off center, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (vii) The registry will accept slight paddling or winging, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (viii) The registry will NOT accept Mares or Stallions who exhibit Parrot Mouth or other bite defects.
 - (ix) The registry will NOT accept any stallion who does not have two fully descended testicles by two years of age.
- (j) **DNA AND MICROCHIP FORM OR CERTIFICATES** are not required, but are recommended.
- (k) **COPY OF REGISTRATION CERTIFICATES FOR APPLICANT HORSE** If the horse is already registered with another registry.
- (l) **COPY OF REGISTRATION CERTIFICATES FOR SIRE AND DAM** If the horse's dam and sire are not already registered with the ISWHA then a copy of their registration certificates for their respective registries must be submitted. If either the sire or the dam is not registered, or a registration certificate cannot be produced, then the horse in question will be listed in the registry with that parent as "unknown".
- (m) **FULL SET OF CURRENT, CLEAR, COLOR PHOTOS** as per **rule XX**
- (n) **REGISTRATION FEE** as listed on the current fee schedule, **rule XX** The ISWHA will honor the Foal Registration Fee for Colts up to 18 months of age provided that the registry receives proof of gelding from a licensed veterinarian.

11.4 Horses will be evaluated by the Registrar and one member of the Inspection Panel who will review the reports and photos, grade the horse against the breed profile, and decide whether or not the horse meets the Registration criteria. The applicant will be notified in writing within 60 days of the submitted application.



Rule 5 BLOOD REQUIREMENTS for PURE SPANISH WARMBLOOD and SPANISH WARMBLOOD

12.1 The ideal Pure Spanish Warmblood is a mix of approximately 60% Spanish Blood, 25% Thoroughbred, and 15% light breed or sport horse.

12.2 All horses applying for registration as Spanish Warmblood (SW) or Pure Spanish Warmblood (PSW) must prove to have sufficient Foundation Spanish Warmblood DNA **(Rule XX)**.

12.3 All horses applying for registration as Spanish Warmblood or Pure Spanish Warmblood require 25% - 75% Spanish blood (Andalusian, Lusitano, Lippizaner, Kladrubby, Knubstrupper), 25-75% Thoroughbred blood, and may have 0-50% blood from a sport-horse type breed. Up to 50% of the TB blood may be substituted with blood from a Trakehner, Arabian, Welsh, Connemara, or a combination of these breeds. No horse registered in the Main Stud Book shall have more than 12.5% (1/8) blood from a "Heavy Horse" breed.

12.4 All horses must be evaluated and graded by the Registrar before registration papers will be granted. The Registrar may confer with the Inspection Panel and the Committee of Management of the Association at any time regarding a pending registration application, blood approval, or Inspection.

Rule 13 BLOOD REQUIREMENTS for SUPPLEMENTARY SPANISH WARMBLOOD

13.1 A Supplementary Spanish Warmblood (SSW) is a horse which is a cross between a Thoroughbred and any one, or combination of, the following Spanish breeds: Andalusian, Lusitano, Lippizaner.

13.2 Horses applying for Supplementary Spanish Warmblood must have 50-75% Spanish Blood and 25-50% Thoroughbred blood.

13.3 All horses must be assessed and approved by the Registrar before registration papers will be granted. The Registrar may confer with the Inspection Panel and the Committee of Management of the Association at any time regarding a pending registration application.

Rule 14 BLOOD REQUIREMENTS FOR APPENDIX SPANISH WARMBLOODS SECTION A and B

14.1 All horses applying for registration in section A of the Appendix must have at least 50% blood from an Andalusian, Lusitano, Lippizaner, Kladrubby, Knubstrupper Connemara, Irish Draught, or Trakehner and be registered as such.

14.2 Any horse who has one parent who is registered as a Pure Spanish Warmblood, Spanish Warmblood, or Supplementary Spanish Warmblood with the ISHWA may be registered as an Appendix Section A.

14.3 Appendix Section A horses may not have more than 25% "Heavy Horse" blood.

14.4 There are no blood requirements for entry into the section B of the Appendix.

14.5 All horses must be Evaluated and graded by the Registrar and/or Chair before registration papers will be granted. The Registrar may confer with the Inspection Panel and the Committee of Management of the Association at any time regarding a pending registration application.



Rule 15 BLOOD APPROVAL

15.1

Horses will need approval prior to registration as a Spanish Warmblood to ensure sufficient Foundation Spanish Warmblood DNA. The following horses are considered to be Foundation Stock:

- (a) **Stallions:** Dulcero, Yardah Jose, Yardah Amigo,
- (b) **Mares:** SM Sintra, Fundada, Cricketwood Tamela, Alicia De Lucinda, Folly, Molly, Diamond Cross, Sapphire, Belle.

15.2 Horses will need approval prior to registration as a Spanish Warmblood, Pure Spanish Warmblood, or Supplementary Spanish Warmblood if they:

- (a) Have less than 25% TB blood
- (b) Have less than 25% Spanish Blood
- (c) Have more than 12.5% blood from a “Heavy Horse” breed
- (d) Is unable to confirm the bloodlines of any of its parents or grandparents.

15.3 Horses will need approval prior to registration as Appendix Section A if they:

- (a) Have less than 50% blood from any of the following breeds: Thoroughbred, Andalusian, Lusitano, Lippizaner, Kladrubby, Knubstrupper, Irish Draught, Trakehner.
- (b) Have more than 25% blood from a “Heavy Horse” breed
- (c) Is unable to confirm the bloodlines of any of its parents or grandparents

15.4 In order for an approval to be made, an application for registration must be completed with a letter indicating that the horse is seeking approval for registration despite the blood requirements. The Inspection Panel will review the application and inform the applicant in writing of the decision within 60 days of the application.

15.5 If the Inspection Panel rejects the application, the applicant will be notified in writing immediately stating reason(s) for rejection.

15.6 The applicant may appeal the Inspection Panel’s decision by re-submitting the application, to the President (Chair) of the ISHWA. The applicant must include a letter requesting a review by the Committee and must clearly state their reason(s) why the horse should be eligible for registration. The Committee’s decision to approve or reject the application will be final and the committee will notify the applicant of the decision within 60 days.

Rule 16 INSPECTIONS

16.1 Horses will be inspected and marked for type, conformation, movement, athletic ability and temperament as per the breed profile, **Rule xx.** Horses must be at least two years of age at the time of inspection.

The following actions require an inspection by the Inspection Panel, operating under contract with the ISHWA:

- a) To apply for a Breeding License, if required. See **rule xx**
- b) To apply for an upgrade of Registration
- c) At any time as required by the Committee
- d) To register a horse where there is insufficient proof of parentage
- e) To register a horse who, at the discretion of the Registrar, is believed to exhibit a fault or unsoundness.
- f) To register a horse whose parents or grandparents are of a gaited breed.

16.2 Inspections are not required to obtain a Breeding License if both the horse’s sire and dam hold a Lifetime Breeding License issued by the ISHWA.

16.3 Horses will be inspected via submitted video and photos by the Inspection Panel of the ISHWA. The Inspection Panel will be comprised the current President and/or the Founding President, at least one additional Committee Member, and up to 2 other people, as voted in by the Committee.



16.4 The photos and videos will be reviewed by the Inspection Panel, and collaboratively, the members of the Panel will score the horse on its type, conformation, quality of movement, athletic ability and temperament, as per the Breed Profile. The Panel will then write an Inspection Report clearly stating the horse's final scores which will be returned to the applicant within 60 days.

16.5 Horses will be marked for the following traits against the breed profile: Height, Type, Head and Neck, Shoulder and Chest, Withers and Ribcage, Back and Croup, Forelimbs, Hindlimbs, Hooves, Temperament, Movement, Athletic Ability, Soundness. Horses will be graded for each trait listed above on a scale of 1 to 10, 10 being the highest mark possible. Horses must score a minimum of 6 on each trait and the following final marks shall constitute a pass:

- a) Pure Spanish Warmblood or Spanish Warmblood: 80% or higher
- b) Supplementary Spanish Warmblood: 75% or higher
- c) Appendix Spanish Warmblood: 70% or higher

16.6 Inspection Tours may be available in your area. In order to have an On-Site Inspection, all forms and fees must be received by the ISWHA office with a note requesting an On-Site Inspection. On-Site Inspections may not be available in your area, you must contact the Registrar to enquire. The ISWHA will send at least 2 people from the Inspection Panel, "Inspectors" to inspect your horse(s) as per **rule xx**. The inspection will follow the same format and requirements as inspections conducted via submitted photos and video.

16.7 The applicant may appeal the Inspectors' or Inspection Panel's report by re-submitting the application, to the President (Chair) of the ISHWA. The applicant must include a letter requesting a review by the Committee and must clearly state their reason(s) why the horse should be re-inspected. If the Committee agrees that a second inspection is required, the Inspection Panel will complete a second inspection and present the results to the Committee. The Committee will then review the Inspection Report, and if the horse's score is not within the passing range, the Committee will vote whether or not to allow a conditional pass. The decision of the Committee will be final.

16.8 Proper Inspection forms must be submitted along with the prescribed fee. A complete application includes:

- (a) APPLICATION FOR INSPECTION FORM** The Application For Inspection Form must be properly completed and signed by the current owner or lessee. If the current owner of the horse is not listed as such on the registration papers, A Transfer Of Ownership Form, properly filled out and signed, must be included along with the proper fee. Inspection Fees are Non-Refundable.
- (b) VETERINARY REPORT FORM** A qualified Veterinarian in the country of your residence must complete and sign the veterinary report form which will certify your horse as being free from physical flaws which could impede its performance or the performance of its offspring.
 - (x)** The registry will accept knees that are slightly off center, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (xi)** The registry will accept slight paddling or winging, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (xii)** The registry will NOT accept Mares or Stallions who exhibit Parrot Mouth or other bite defects.
 - (xiii)** The registry will NOT accept any stallion who does not have two fully descended testicles.
- (c) DNA AND MICROCHIP FORM OR CERTIFICATES** Any horse who is applying for Pure Spanish Warmblood or Spanish Warmblood must have a Microchip and DNA on file with the ISWHA. Any horse who is applying for an upgrade in status must also have a Microchip and DNA on file with the ISWHA. If the horse already has a microchip or DNA registered with another association, you must provide the details on the Application for Inspection form.



- (d) **ORIGINAL REGISTRATION CERTIFICATES FOR APPLICANT HORSE** The original certificate of registration issued by the ISWHA must be included with the application.
- (i) A horse who does not yet have a certificate of registration must also submit the required documents for registration.
 - (ii) If the registration papers for the horse have been lost or defaced, the owner must include an application for replacement papers with the application.
 - (iii) If the horse is registered with any other registry, you must include a copy of that certificate.
- (e) **COPY OF REGISTRATION CERTIFICATES FOR SIRE AND DAM** If the horse's dam and sire are not already registered with the ISWHA then a copy of their registration certificates for their respective registries must be submitted. If either the sire or the dam is not registered, or a registration certificate cannot be produced, then the horse in question will be listed in the registry with that parent as "unknown".
- (f) **FULL SET OF CURRENT, CLEAR, COLOR PHOTOS** as per **rule**
 - (g) **VIDEO SHOWING HORSE'S ATHLETIC ABILITIES** as per **rule**
 - (h) **INSPECTION FEE** as listed on the current fee schedule, **rule xx**

Rule 17 FOAL ADVISORY INSPECTIONS

17.1 All foals who have a sire or dam who has not fulfilled the requirements to their lifetime breeding licenses (rule xx) are required to pass a Foal Advisory Inspection at the time of registration. Any horse under twenty three months of age or younger may undergo a Foal Approval Inspection. Horses two years of age and over are required to have a full inspection.

17.2 Foals will be inspected by an Inspection Board who will review the forms, reports, and photos, and grade the horse on a scale of 1 to 10, 10 being the highest possible mark, on the following traits: Type, Head and Neck, Shoulder and Chest, Back and Croup, Forlimbs, Hindlimbs, Hooves. The horse must score a minimum of 6 on each trait and a total score of at least 70% is required to pass the inspection. The applicant will be notified of the results in writing within 60 days of the submitted application.

17.3 The applicant may appeal the Inspectors' or Inspection Panel's report by re-submitting the application, to the President (Chair) of the ISHWA. The applicant must include a letter requesting a review by the Committee and must clearly state their reason(s) why the horse should be re-inspected. If the Committee agrees that a second inspection is required, the Inspection Panel will complete a second inspection and present the results to the Committee. The Committee will then review the Inspection Report, and if the horse's score is not within the passing range, the Committee will vote whether or not to allow a conditional pass. The decision of the Committee will be final.

17.4 Proper registration forms must be submitted along with the prescribed fee. A complete application includes:

- (i) **APPLICATION FOR FOAL INSPECTION FORM** The Application for Inspection Form must be properly completed and signed by the current owner or lessee.
- (j) **VETERINARY REPORT FORM- Foal Approval** A qualified Veterinarian in the country of your residence must complete and sign the veterinary report form which will certify your foal as being free from physical flaws which could impede its performance or the performance of its offspring.
 - (xiv) The registry will accept knees that are slightly off center, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (xv) The registry will accept slight paddling or winging, as per the breed standard of the International Andalusian and Lusitano Horse Association.
 - (xvi) The registry will NOT accept Mares or Stallions who exhibit Parrot Mouth or other bite defects.



- (k) **DNA AND MICROCHIP FORM OR CERTIFICATES** are required for PSW and SW foals.
- (l) **COPY OF REGISTRATION CERTIFICATES FOR APPLICANT HORSE** If the horse is already registered with another registry.
- (m) **COPY OF REGISTRATION CERTIFICATES FOR SIRE AND DAM** If the horse's dam and sire are not already registered with the ISWHA then a copy of their registration certificates for their respective registries must be submitted. If either the sire or the dam is not registered, or a registration certificate cannot be produced, then the horse in question will be listed in the registry with that parent as "unknown".
- (n) **FULL SET OF CURRENT, CLEAR, COLOR PHOTOS** as per **rule XX**
- (o) **INSPECTION FEE** as listed on the current fee schedule, **Rule XX**, only if this foal does not qualify for inspection as part of its sire or dam's breeding license.

Rule 18 "OTHER BREEDS"

18.1 The term "Other Breed" will refer to any horse who is either:

- a) Unregistered
- b) Registered with a breed registry but unable to prove at least 50% parentage to any one of the following breeds: Pure Spanish Warmblood, Spanish Warmblood, Supplementary Spanish Warmblood, Andalusian, Lippizaner, Lusitano, Kladrubby, Knubstubber, Trakehner, Thoroughbred, Arabian, Welsh, Connemara, Irish Draught.
- c) Is of any other "Light Horse Breed" or "Sport Horse Breed" or cross of breed not listed above, including "Gaited Horses" and "Heavy Horse Breeds" and may or may not be registered as such.

18.2 The term "Sport Horse Breed" will refer to any horse between 15 and 17.2 hands high who exhibits athletic ability for the following areas of competition: Dressage (2nd level and up), Stadium Jumping (0.8 m and up), Cross County Jumping, or Eventing. Sport Horse Breeds are typically more substantial than "Light Horse Breeds" and includes breeds such as: Warmbloods such as Trakehner, Hannoverian or Dutch Warmblood; but may also be Thoroughbred, Iberian, or even light draft types such as Friesian, Canadian, or Irish Draught.

18.3 The term "Light Horse Breed" will refer to any horse or pony over 12 hands who exhibits athletic ability for one of the following areas of competition: Hack/Flat/Rail Classes (English or Western) Dressage (any level), Stadium Jumping (0.5m and up), Cross Country Jumping, Eventing, Reining, Cutting, Racing, Endurance, Competitive Trail, Pleasure Driving, Combined Driving, or Polo. "Light Horse Breeds" have less body substance or height than a "Sport Horse Breed" and includes breeds such as: Quarter Horses, Arabians, Thoroughbreds, Welsh, Connemara, Halflinger, Paint, Pinto or Appaloosa.

18.4 The term "Heavy Horse Breed" or "Cold blood breed" is used to describe most draft horses such as Shire, Percheron, Clydesdale and also includes Draft type ponies such as Fjord. "Heavy Horse Breeds" may be ridden, but their primary purpose is that of a work horse. A "Heavy Breed Horse" is prized for its size and strength over refinement and athleticism. The ISWHA will use the term "Heavy Horse Breed" to describe all cold-blooded or Heavy Draft type horses. The maximum amount of blood permitted from a "Heavy Horse Breed" is 25% for Appendix Section A and 12.5% for Spanish Warmblood and Pure Spanish Warmblood.

18.5 The term "Gaited Horse Breed" will include all horses who exhibit a gait outside of the normal walk, trot, and canter of a Sport Horse or Light Horse Breed. This includes Pacing, Racking, and the unique gaits of the Tennessee Walker, Peruvian Paso, and Paso Fino. "Gaited Horse Breeds" are prized for their unique movement and looks over athletic ability for performance sports. No Spanish Warmblood shall exhibit gaits other than walk, trot, canter, gallop, piaffe, passage, Spanish walk, and Spanish Trot. An Inspection will be required for any horse whose parent or grandparent is of a gaited breed. The horse must prove to have clean gaits at the walk, trot, and canter to be eligible for registration with the ISWHA.



Rule 19 DESCRIPTIONS OF COLORS

19.1 All colors and patterns of coat will be accepted for registration. The following is a description of terms:

- a) **BAY:** body color ranging from tan, through red, to reddish brown; mane and tail black, black on lower legs unless full stockings are present.
- b) **BAY ROAN:** more or less uniform mixture of white with red hairs on a large portion of the body; darker on head, usually red but can have a few black hairs in mixture; black mane and tail and black on lower legs.
- c) **BLACK:** body color true black without light areas; mane and tail black.
- d) **BLUE ROAN:** more or less uniform mixture of white with black hairs over a large portion of the body, but usually darker on head and lower legs.
- e) **BUCKSKIN:** body color yellowish or gold; mane and tail black; black on lower legs; no dorsal stripe.
- f) **BROWN:** body color brown or black with light areas at any of the following locations: muzzle, eyes, flank and inside upper legs; mane and tail are black or dark brown.
- g) **CHESTNUT:** body color dark red or brownish-red; mane and tail usually dark red or brownish-red, but may be flaxen.
- h) **DUN:** body color yellowish or gold; mane and tail are black or brown; has dorsal stripe and usually zebra stripes on legs, and transverse stripe over withers.
- i) **FLEA BITTEN GREY:** A light coat uniformly speckled with small dark “dots” not to exceed 1cm in size.
- j) **GREY:** mixture of white with grey colored hairs; often born solid-colored or almost solid-colored and get lighter with age as more white hairs appear. Includes dapple grey coat patterns.
- k) **GRULLO:** body color smoky or mouse-colored (not a mixture of black and white hairs, but each hair mouse-colored); mane and tail black; usually has black dorsal stripe and black on lower legs.
- l) **LEOPARD:** White horse with dark spots over the entire body, or dark horse with white spots over the entire body.
- m) **OVERO:** Dark base coat with large patches of solid white.
- n) **PALOMINO:** body color a golden yellow; mane and tail white or flaxen; typically palominos do not have dorsal stripes.
- o) **PERLINO:** light (or pink) skin over the body, white or cream-colored hair and blue eyes. Mane, tail and lower legs may be slightly darker than body color.
- p) **RED ROAN:** more or less uniform mixture of white with red hairs on a large portion of the body, but usually darker on head and lower legs; can have red or flaxen mane and/or tail.
- q) **SORREL:** body color reddish or copper-red; mane and tail usually same color as body, but may be flaxen or of mixed color.
- r) **TOBIANO:** White base coat with large patches of solid color.

RULE 20 DESCRIPTIONS OF MARKINGS

20.1 There is no limit to the amount of white markings that a horse may have. All markings must clearly be shown on the application for registration and in all photos in correspondence with the ISWHA. The following is a description of terms:

- (a) **SNIP:** Any white marking between the two nostrils.
- (b) **STAR:** Any marking on the forehead.
- (c) **STRIP:** A narrow marking extending vertically in the area between the forehead and the nostrils.
- (d) **BLAZE:** A vertical marking of medium, uniform width extending the length of the face.
- (e) **STAR AND STRIP:** A marking on the forehead with a strip to the nasal peak. The strip does not have to be an extension of the star.



- (f) STAR, STRIP and SNIP: A marking on the forehead with a narrow extension of the nasal peak and opening up again between the nostrils. These may be connected.
- (g) LIP: Any white (or pink on light colored horses) marking on the lower lip up to and including the chin.
- (h) BALD FACE: A very broad blaze. It can extend out and around the eyes and it can extend around the nostrils and down to the upper lip.
- (i) CORONET: Any narrow marking around the coronet above the hoof.
- (j) PASTERN: A marking which includes any part of the pastern between the fetlock and the coronet.
- (k) SOCK: A marking which extends from the coronet halfway up to and including the fetlock.
- (l) HALF STOCKING: A marking which extends from the coronet to any point between the fetlock and within 3 inches of the knee or hock.
- (m) STOCKING: An extension of a Half Stocking which may extend to up to and include the knee or hock.
- (n) ROAN PATCHES: Areas with white hair scattered through the basic body color and which do not have underlying light-colored skin.
- (o) PATCHES OF DARKER-COLORED HAIR: Concentrated areas in which the hair has a darker pigmentation than the surrounding hair of its basic body color.
- (p) DARK SPOTS: Patches of dark hair (or basic body color) within white markings.
- (q) BLANKET: A large patch of hair, different from the body color of the horse, which is usually located over the hips and may extend to the withers. The blanket may be white, white with spots, roan, or roan with spots. You must accurately describe the type of blanket that your horse has on registration and inspection documents.
- (r) WHORL: hair growth that forms a spiral pattern.

Rule 21 BREEDER AND OWNERSHIP OF FOALS

- 21.1** The registered owner or recorded Lessee of the mare at the time of breeding is the “Breeder”.
- 21.2** The registered owner or recorded lessee of the mare at the time of foaling is the owner of the foal.
- 21.3** Transfers of ownership for foals prior to registration must be documented with the ISWHA. To fill in such a form for an unregistered foal, the seller should fill in all sections of the Transfer of Ownership Form and shall write in the “name” section of the form, the words “Un-Registered Foal” and the names and registration numbers of the sire and dam.

Rule 22 AGE OF A HORSE

- 22.1** The age of a horse shall be computed on the basis of a calendar year starting January 1 of the year foaled. It is a weanling during the calendar year in which it was foaled and a yearling during the first calendar year following its foaling date, regardless of the time of year foaled. For example, a horse foaled anytime in 2003 was considered to be one year old on January 1, 2004, and two years old on January 1, 2005.



Rule 23 NAMING A HORSE

23.1 Each horse for which registration is applied must be given a name acceptable to ISWHA.

- (a) The name must not exceed 30 characters, including letters, numbers, punctuation, and blank spaces.
- (b) Roman Numerals are permitted as a suffix, if separate from the remainder of the name.
- (c) Apostrophe and Hyphen are the only punctuation marks permitted.
- (d) A farm name or initials are permitted as a prefix, if separate from the remainder of the name. (RULE XX)
- (e) A name may be reused if all of the following criteria are met. The horse originally issued the name:
 - (i) Must be deceased as evidenced by ISWHA records;
 - (ii) Does not have a performance record
 - (iii) Does not have offspring with a performance record
 - (iv) Has not received any ISWHA special achievement recognition award or affiliate recognition that appear on ISWHA records as an award

Rule 24 BREEDER'S PREFIX (FARM NAMES, HERD NAMES)

24.1 A breeder may register for his exclusive use, a farm name, herd name, or acronym, to be used as a prefix in naming his animals. Any such prefix must be approved by the Registrar and registration of that name will be allowed to only one breeder and in registering such names, prior use and priority in application for registration will be considered. The breeder must submit the "Breeder's Prefix Approval" form to the ISWHA office along with the prescribed fee. The ISWHA will refer to all farm names and prefixes as "Breeder's Prefix".

24.2 Breeder's Prefixes may only be used by their registered owner to register foals who are born under the Prefix holder's ownership or lease. The farm on which the foal was born shall determine its eligibility to be registered with a farm name. Farm names are only to be applied to horses who were born on the property of the Breeder's Prefix permit holder as described in subrule 3, and may not be applied to horses purchased after birth.

24.3 The "farm on which the foal was born" shall be either:

- (a) Property owned by the breeder at the time of foaling
- (b) Property leased, or rented to, the breeder at the time of foaling
- (c) A stall, paddock, or pasture, rented to the breeder at the time of foaling

24.4 Breeder's Prefixes are limited to a maximum of 10 letters and may not include spaces, symbols, or punctuation. The Prefix must not include any words or acronyms which could be offensive or imply a characteristic or status, including, but not limited to: "Premium", "Pure", "Award", "Approved", "Champion", "Qualified", "Registered", "Licensed" or "Imported".

24.5 A registered Breeder's Prefix may be transferred to another person or persons, upon written authority of the person in whose name it is registered. A "Transfer of Breeder's Prefix" form must be completed and submitted to the ISWHA office along with the prescribed fee.

24.6 In the event of a change in the name of a partnership, company, or business, the authorized representative of the partnership, company or business may change the prefix upon written application to the Registrar and payment of the prescribed fee. Foals born prior to the change of prefix will retain the original prefix.

24.7 If a prefix owner dies, the authorized representative to the owner's estate may change the name of the owner to the name of the estate or owner's heir upon written application to the Registrar and payment of the prescribed fee.

24.8 A foal from a mare leased or purchased in foal shall have the prefix of the farm on which the foal was born. (see rule xx, above).

24.9 Breeder's prefixes may only be used by members in good standing.

24.10 The Breeder's Prefix is valid for a term of 10 years. Breeders must renew their prefix applications and pay the prescribed fee (rule xx)



Rule 25 STALLION BREEDING LICENSE

25.1 Stallions must be registered prior to receiving a breeding license. In order to receive a breeding license, the stallion must pass their inspection with a mark of no less than 80%.

25.2 If a stallion accrues an inspection score of 75-79.9%, that stallion will be granted a “Conditional Breeding License”, valid for 3 years. To be approved for a full breeding license the stallion must prove to be “exceptional by performance or produce” Rule xx, or produce 6 (six) foals who pass the Foal Advisory Inspection.

25.3 If a stallion accrues an inspection score of 74.9% or less, a breeding license will not be issued. Any offspring from the stallion, including those produced with previously frozen semen, will be denied entry into the Main Stud Book and will be eligible for Appendix registry only. These horses may advance into the Main Stud book if they prove to be “exceptional by performance or produce” Rule xx. The stallion may be eligible to receive a full breeding license if he proves to be “exceptional by performance or produce” Rule xx. By default, if the stallion earns the title of “exceptional by performance or produce”, any existing offspring registered with the ISWHA will automatically be upgraded to their rightful grade by birth.

25.4 Stallion licenses are valid for 5 years and in that time the stallion must produce 6 foals who pass a “Foal Approval Inspection”.

(i) Stallions who have six foals pass their inspections will be granted a lifetime breeding license and may be referred to and marketed as “Licensed” by the ISWHA.

(ii) In the event that the stallion does not produce six foals before the license expires, the stallion owner (or lessee) must re-apply and pay for a new inspection and license.

(iii) In the event that the stallion produces two (2) foals who fail inspection (score of less than 70%) during the five year term, the license will be cancelled and additional requests for licensing will be denied. See rule xx, Cancelled Breeding Licenses.

Rule 26 MARE BREEDING LICENSE

26.1 Any mare who passes inspection with a minimum inspection score of 80% is automatically granted a Lifetime Breeding License. The conditions of the lifetime breeding license are as follows:

(a) If the mare is bred to a fully licensed ISWHA stallion, the foal will not require inspection for registration.

(b) If the mare is bred to a stallion who has not yet fulfilled his licensing requirements, the foal will require a Foal Advisory Inspection prior to registration. The foal owner is responsible for providing the appropriate forms and photos, but there is no cost to the foal owner.

(c) If the mare produces two (2) foals who fail inspection (inspection score of less than 70%), the license will be cancelled and additional requests for licensing will be denied. See rule xx, Cancelled Breeding Licenses.

26.2 Any mare who receives an inspection score of 75-79.9% on their inspection will be granted a “Conditional Breeding License” for 5 years. The conditions of a “Conditional License” are as follows:

(a) All foals produced by the mare must be inspected.

(b) If the mare produces two (2) foals who pass inspection, she will be granted a Lifetime Breeding License and required to adhere to the conditions associated with it.

(c) If the mare produces two (2) foals who fail inspection (inspection score of less than 70%), the license will be cancelled and additional requests for licensing will be denied. See rule XX, Cancelled Breeding Licenses.

26.3 If a mare accrues an inspection score of 74.9% or less, any offspring from the mare, including those from the use of frozen embryos, will be denied entry into the Main Stud Book and will be eligible for Appendix registry only. These foals may be eligible for upgrading if they pass a full inspection or prove to be “Exceptional by Produce or Performance”, rule xx.



Rule 27 CANCELLED BREEDING LICENSES

27.1 If a mare or stallion produces two (2) foals who score less than 70% on their Foal Inspection, the breeding license will be cancelled. If an applicant wishes to appeal the cancellation, they must provide a letter expressing reasons for the appeal within 30 days of cancellation. The case will then be discussed and voted upon at a committee meeting and the decision to approve or reject the license will be final. Any foals conceived (including via embryo transfer, frozen embryos, or frozen semen) after the date of the license cancellation will be eligible for registration in the Appendix book only.

27.2 Offspring of a mare or stallion whose license has been cancelled may be eligible for upgrading back into their birthright classification if they pass a full inspection or prove to be “Exceptional by Produce or Performance” (rule xx).

Rule 28 CANCELLED REGISTRATION CERTIFICATES

28.1 If a horse registered with the ISWHA is later found to be non-conforming to the breed profile or registration requirements for which it was registered, the ISWHA may cancel a registration certificate. If an owner wishes to appeal the cancellation, they must provide a letter expressing reasons for the appeal within 30 days of cancellation. The case will then be discussed and voted upon at a committee meeting and the decision to uphold the cancellation or re-instate registration will be final. Any foals conceived (including via embryo transfer, frozen embryos, or frozen semen) after the date of the registration cancellation will be eligible for registration in the Appendix book only.

28.2 Offspring of a mare or stallion whose registration has been cancelled may be eligible for upgrading back into their birthright classification if they pass a full inspection or prove to be “Exceptional by Produce or Performance” (rule xx).

Rule 29 STALLION BREEDING REPORT

29.1 The owner, authorized agent or lessee of every stallion registered with the ISWHA must complete a Stallion Breeding Report each year including the names, registration numbers and breeding date(s) of exposure of all mares exposed to said stallion since the previous December 31. The stallion owner or lessee shall clearly distinguish those mares bred using transported cooled semen or frozen semen, hand bred or pasture bred on the Stallion Breeding Report (see rule 31). Such report must be postmarked or delivered to ISWHA on or before December 31 of the breeding year. A \$30 late fee will be charged for reports submitted after December 31 of the breeding year.

(a) Such reports for stallions standing south of the equator must be postmarked or delivered to ISWHA’s office on or before June 30 of the breeding year, and a payment of \$30 in will be required for reports submitted after June 30 of the breeding year.

29.2 This report shall include all mares owned by the owner of the stallion as well as mares owned by other parties. The report shall be made on a form provided free of charge by ISWHA or online at ISWHA.com.

29.3 Frozen Semen and the stallion are considered separate entities. Frozen semen is considered the property of the registered stallion owner or lease at the time of collection unless otherwise indicated on the Frozen Semen Permit. If a stallion is sold, semen that has been collected and frozen must be indicated on the transfer form (must include number of vials) in order for the resulting foals to be eligible for registration.

29.4 Persons using a retained semen rights permit (refer to rule xx) must file a stallion breeding report listing mares bred using the stored semen by December 31 (Or June 30 for those south of the Equator) of the breeding season. Late reports will be billed \$30 to submit a report after the deadline.

29.5 Only one foal can be registered per mare listing on a stallion breeding report. In order for multiple foals resulting from breedings to a particular mare to be registered, the mare must be listed multiple times (with breeding dates) on the stallion breeding report corresponding to the number of foals sought to be registered. There is no limit on the number of foals that a mare or stallion can produce.

29.6 Forty five days (45) must elapse between exposure to different stallions for foals to be eligible for registration. If a mare has been exposed to more than one stallion in a 45 day period, the foal must be genetically tested. Refer to rule xx



Rule 30 BREEDER AND BREEDER'S CERTIFICATE

30.1 The breeder of a horse is the owner of the dam at the time of service, except when a mare is held under lease at time of breeding and written notification of such lease signed by the lessee and leaser is on file with ISWHA at time of registration, in which event the registration certificate shall show the lessee as the breeder. When a frozen embryo permit is used to register a foal, the owner of the frozen embryo permit shall show as the breeder.

30.2 Registration applications must be accompanied by a completed breeder's certificate signed by the record owner of the sire and the record owner of the dam at the time of service, with these exceptions:

- (a) When a retained semen rights permit is used to register a foal, ISWHA shall require only the signature of the permit owner on the permit to verify the service of the sire. The record owner of the dam at time of breeding must sign the appropriate breeder's certificate on the registration application.
- (b) When a properly signed frozen embryo permit is used to register a foal, no breeder's certificate is required as the requirements were met when application to purchase the frozen embryo permit was made. The frozen embryo certificate must be attached to the registration application.
- (c) When a stallion or mare is bred under a lease agreement, notice of which is filed with ISWHA, the signature of such lessee on breeder's certificates and stallion breeding reports will be accepted.
- (d) When written authorization, on a form furnished by ISWHA upon request, has been filed in the ISWHA office, ISWHA will accept the signature of such authorized individual on a breeder's certificate. The written authorization must be signed by the record owner of the horse specified in such authorization.

30.3 All registration applications for foals resulting from the use of cooled transported semen or frozen semen must be accompanied by a Transported Semen Breeder's Permit as required by rule xx. Such breeder's permits are available at no charge to stallion owners upon request.

30.4 On a breeder's certificate, ISWHA will recognize the signature of any one of the joint owners of a horse if such owner is named on the horse's current registration certificate. For a horse covered by a lease, the notice of which has been filed with ISWHA, only the lessee or lessee's authorized agent may sign a breeder's certificate for breedings that occurred during the effective period of the lease.



Rule 31 ARTIFICIAL INSEMINATION WITH COOLED TRANSPORTED SEMEN, FROZEN SEMEN AND RETAINED SEMEN

31.1 In addition to other ISWHA registration rules, the offspring of any foal conceived using Artificial Insemination shall only be eligible for registration if its pedigree has been verified through genetic testing of foal, sire and donor mare; and by such other testing as ISWHA reasonably deems necessary to verify the validity of the genetic testing, all expense of which shall be the registration applicant's.

31.2 Any stallion owner, lessee, or agent may collect fresh semen to inseminate mares at the same address as the stallion. "Fresh Semen" must be indicated on the breeder's certificate, but additional documents such as the "Transported Semen Form" are not required. The Inspection Panel and Committee of Management have the authority to send representatives to inspect the premises and practices of any person or breeding establishment using artificial insemination and no person shall refuse full access to said premises.

31.3 Semen cooled or frozen for transport must be collected by a veterinarian or trained technician who must sign and date the Transported Semen Form. The stallion owner or lessee and mare owner must both complete their respective portions of the collection/insemination certificate on the Transported Semen Form which must accompany the shipment of cooled semen or frozen semen to the mare owner as per rule xx. This certificate is not to be confused with a breeder's certificate (see rule xx) and cannot be used as such.

31.4 Upon receiving the semen and the collection/insemination certificate from the stallion owner, the owner or lessee of the mare for which semen is received shall complete and sign the certificate, including the date of insemination. This certificate (not a breeder's certificate) shall be sent to the ISWHA office within 15 days from the date of insemination. Any subsequent breeding in the same breeding season will require another certificate.

(a) Any foal resulting from the use of transported cooled semen or frozen semen must have its pedigree verified by genetic testing, including sire, dam and foal and/or by other genetic testing as ISWHA deems necessary, all expense of which shall be the registration applicant's. In addition, the Inspection Panel and the Committee of Management has the authority to require parentage verification by genetic testing of all foals born on any premise receiving transported semen.

(b) Any foal resulting from the use of transported cooled semen or frozen semen shall not be registered without a transported semen form. Such breeder's forms are available at no charge to stallion owners upon request.

(c) The Inspection Panel and Committee of Management have the authority to send representatives to inspect the premises and practices of any person or breeding establishment using artificial insemination and no person shall refuse, full access to said premises.

31.5 Frozen semen and the stallion are considered separate entities. Frozen semen is considered the property of the registered stallion owner or lease at the time of collection unless otherwise indicated on the Frozen Semen Permit. In the event a stallion owner wishes to sell a stallion, but retain rights to use frozen semen, he may purchase retained semen rights permits from ISWHA. If the stallion is sold, semen that has been collected and frozen must be indicated on the transfer form (must include number of vials) in order for the resulting foals to be eligible for registration. Only the record owner or lessee may purchase retained semen rights permits. Once a stallion is sold, a former owner or lessee cannot purchase additional permits. The ISWHA will record the number of outstanding permits for each individual stallion and that number will be a matter of public record. It is the ultimate responsibility of a prospective buyer to confirm with the seller the number of outstanding permit applications not yet recorded on ISWHA records as of the date of sale.

(a) Each of the retained semen rights permits purchased may be used as the stallion breeder's certificate for the registration of only one foal. The signature of the permit owner will serve as the signature of the stallion owner, agent, or lessee on the Breeder's Certificate.

(b) The ownership of the retained semen rights permits may be transferred. Each transfer of ownership of the permit shall be recorded by ISWHA. The rules of transfer of ownership as listed in rule xx for transfer of ownership of a horse shall apply, except the request to transfer ownership of the permit shall be accompanied by the retained semen rights permit instead of the certificate of registration.

(c) The purchaser of the retained semen rights permit is responsible for filing the appropriate stallion breeding report as required by rule xx.



Rule 32- EMBRYO/OOCYTE TRANSFER

32.1 A horse foaled by a mare that is not its genetic dam but was transferred to her by embryo/Oocyte transfer technique shall be eligible for registration. The Inspection Panel and Committee of Management have the authority to send representatives to inspect the premises and practices of any person or breeding establishment using embryo/Oocyte transfers and no person shall refuse full access to said premises.

(a) In addition to other ISWHA registration rules, the offspring of an Embryo Transfer shall only be eligible for registration if its pedigree has been verified through genetic testing of foal, sire and donor mare; and by such other testing as ISWHA reasonably deems necessary to verify the validity of the genetic testing, all expense of which shall be the registration applicant's.

32.2 Frozen embryos and the mare are considered separate entities. Frozen embryos are considered the property of the registered mare owner or lease at the time of collection unless otherwise indicated on the Frozen Embryo Permit. If the mare is sold, embryos that have been collected and frozen must be indicated on the transfer form (must include number of embryos) in order for the resulting foals to be eligible for registration.

(i) The application for a frozen embryo permit must be signed by both the mare owner and the stallion owner at time of breeding. This permit, when used to register a foal, will serve as both the stallion breeding report and the breeder's certificate.

(ii) Each of the frozen embryo permits purchased may be used for the registration of only one foal. ISWHA will record the number of outstanding certificates for each individual mare and that number will be a matter of public record. It is the ultimate responsibility of a prospective buyer to confirm with the seller, the number of outstanding permit applications not yet recorded on ISWHA records as of the date of sale.

(iii) The ownership of the permit may be transferred. Each transfer of ownership of the embryo permit shall be recorded by ISWHA. The rules for transfer of ownership as listed in rule 44 for transfer of ownership of a horse shall apply, except the request for transfer of the permit shall be accompanied by the embryo permit instead of the certificate of registration.

32.3 When an attempt is made to impregnate a mare using an embryo/Oocyte Transfer, a licensed veterinarian must complete the Embryo Transfer Form at the time of transfer and this form must be sent immediately to the ISWHA. The breeder must submit to the ISWHA within 60 days of breeding, a letter from the veterinarian who performed the transfer indicating whether or not a pregnancy was achieved.

Rule 33 GENETIC TESTING

33.1 All purebred Spanish Warmblood and Spanish Warmblood horses must have genetic testing completed in order for registration to be granted. All stallions applying to register within the main stud book or any section of the appendix must have DNA on file.

33.2 If there is justifiable cause to question parentage of a foal, the Inspection Panel or Committee of Management may require the foal, sire, dam, or recipient mare (if conceived via embryo/Oocyte transfer) to be genetically tested or re-tested; the expenses of which shall be the responsibility of the applicant. The results of this test, together with other available information, may be taken into consideration by the committee in its determination of the foal's parentage as recognized by ISWHA. Such genetic testing shall be done by an organization approved by ISWHA. Parentage must be verified through genetic testing before a foal can be registered if:

(a) It was the result of an embryo/Oocyte transfer.

(b) It was conceived by Artificial Insemination.



- (c) There is a concern that the horse may carry a genetic disease.
- (d) It was more than 48 months of age at time application for registration is made.
- (e) Its dam was exposed to more than one stallion within a 45 day time period.
- (f) The Inspection Panel or Committee of Management has justifiable cause to question its parentage.
- (g) A genetic type must be on file with ISWHA for any mare foaled on or after January 1, 2010, prior to the registration of any foal.

Rule 34 Genetic Diseases

34.1 Any horse applying for registration who is a descendent of the AQHA stallion “Impressive” (AQHA Registration #0767246) will be required to undergo testing for HyPP. Any horse who is a carrier (H/N or H/H) will be denied registration and any of its offspring who test (H/N or H/H) will also be denied registration. Only horses who test (N/N) will be permitted to apply for registration with the ISWHA.

34.2 Any horse who has suffered from any of the following genetic diseases will not be eligible for registration:

- (a) PSSM -polysaccharide storage myopathy, also referred to as EPSM or EPSSM
- (b) RER -Recurrent Exertional Rhabdomyolysis
- (c) HERDA (HC) – Hereditary Equine Regional Dermal Asthenia

34.3 Any horse already registered with the ISWHA who is found to have a Genetic Disease will have their registration and breeding license cancelled and all offspring will be required to undergo genetic testing. Any affected offspring will have their registration cancelled.

34.4 Any horse who produces a foal who tests positive for, or dies due to complications suspected of: SCID (Severe Combined Immunodeficiency), OWLS (Overo Lethal White Syndrome), GBED (Glycogen Branching Enzyme Deficiency), JEB (Junctional Epidermolysis Bullosa), EI (Epitheliogenesis Imperfecta), or any other genetic disease, will have its registration and breeding license cancelled.

Rule 35 EXTRAORDINARY REGISTRATATION AND UPGRADING OF REGISTRATION

35.1 The Inspection Panel and Committee of Management shall have authority to declare eligible for registration a horse who is outstanding by performance or produce, and thus worthy of registration despite lacking some technical requirement of ISWHA rules which would otherwise make it ineligible for registration.

35.2 “Outstanding by performance or produce” shall mean that the horse has attained a minimum of 25 points through the Competition Awards Program with the ISHWA as per rule xx, or has two or more of its offspring who have each attained a minimum of 25 CAP points.

35.3 The Inspection Panel and Committee shall have authority to award eligible horses with an upgrade within the registry who is “Outstanding by performance or produce” to any horse who meets the blood requirements but is not otherwise eligible for Pure Spanish Warmblood or Spanish Warmblood.

35.4 The Inspection Panel and/or Committee of Management shall have authority to award eligible horses in section B of the Appendix with an upgrade to section A of the Appendix, any horse who is “outstanding by performance or produce”.



Rule 36 CHANGE OF A HORSE'S NAME

36.1 A horse's name may be changed upon receipt of an acceptable name choice, the registration certificate and remittance of appropriate fee as per rule xx, so long as it has not:

- (a) earned a special achievement recognition award as per rule xx;
- (b) appeared on any breeding document submitted to ISWHA.

36.2 Pursuant to rule xx, the registered farm prefix will not be changed on any horse.

Rule 37 GELDINGS AND SPAYED MARES

37.1 When a horse is gelded or a mare is spayed, such fact should be reported to ISWHA immediately. This should be done by providing ISWHA the horse's registration certificate and a statement from the owner of record indicating the date the horse was gelded or spayed. ISWHA will make the appropriate notation in its records and on the registration certificate and return the certificate to the owner at no charge.

37.2 The ISWHA must receive requests for Frozen Embryo or Frozen Semen permits before the horse is spayed or gelded. Permits will not be issued after the horse is spayed or gelded.

Rule 38 SCARS AND BRAND

38.1 All scars, brands and tattoos appearing on a horse should be shown on its registration certificate. Brands, scars or identifying tattoos not appearing on the certificate will be added by ISWHA free of charge if the record owner submits the registration certificate, a diagram showing the shape and location of the scar or brands, and the date of injury or branding, if known; or the location and exact description of tattoo.

Rule 39 CORRECTION OF A REGISTRATION CERTIFICATE

39.1 The registration certificate shall accurately describe the horse for which it has been issued. An owner is responsible for immediately reporting any discrepancy on a registration certificate to ISWHA and returning it for correction. The certificate may be cancelled if preliminary examination indicates the certificate does not describe the horse for which it was issued, providing at least 15 days prior notice was given to the record owner of the upcoming hearing.

39.2 A corrected certificate is issued to indicate a change in color, markings, sex (male to female or vice versa) or foaling month; from stallion to gelding; removal of scars or brands which previously had been indicated on the certificate; or the correction of a date of transfer. ISWHA must be provided with the original certificate before a corrected certificate can be issued.

39.3 Correction of an original registration certificate may be obtained by the current record owner or owner's authorized agent by contacting the ISWHA, in writing, describing the requested changes. Such letter is to be accompanied by the necessary fee as per rule xx, along with four full-view current photographs of the horse showing both sides, front and rear views.

39.4 When a significant correction in the description of a horse is requested, positive identification may be required if the ownership is no longer recorded in the name of the original owner (owner of dam at time of foaling). Such positive identification requires written affirmation and signature on a photograph of the horse (clearly showing distinctive markings) from the original owner or authorized agent stating that the photograph is of the horse in question.

39.5 If the application for a corrected certificate contains a discrepancy that raises a question as to the identity of the horse, an inspection may be required. The ISWHA has the right to request a microchip scan from a licensed veterinarian or show official, a hair or blood sample for DNA analysis, or a verification of brand or tattoo by a licensed veterinarian or show official in order to ensure positive identification.



39.6 If a registration certificate is delivered to ISWHA's office for correction, but the correction items are not in proper order to issue a corrected certificate, the registration certificate will be retained by ISWHA until the correction can be completed and a new certificate issued.

39.7 To correct the date of transfer recorded with ISWHA, ISWHA must be provided with signed statements from both seller and buyer on the transfer report that is to be corrected, giving the correct date, the horse's registration certificate and the correction fee as specified in rule xx.

Rule 40 REPLACEMENT CERTIFICATE

40.1 In the event that an original certificate is damaged or defaced, the current owner may submit the original certificate to the office with a letter requesting a replacement certificate with the appropriate fees (rule xx.)

Rule 41 DUPLICATE CERTIFICATE

41.1 A duplicate certificate is a new registration certificate issued when the original has been lost or destroyed. It is issued when sufficient proof of loss and proper identification of the horse has been submitted to ISWHA. To request a replacement certificate, the current owner must fill out an Application for Registration to the best of their ability and include a letter stating that this application is for the purpose of a replacement certificate, listing the reasons why the replacement is needed. Both the letter and the application must be signed by the applicant and notarized. Photographs of the horse in question must be provided (both sides, front, and back).

41.2 In regard to involuntary transfers, including, but not limited to, court judgments and stableman's lien or security interest foreclosure, when it is proven to ISWHA's satisfaction that a previous owner is unavailable to verify via an affidavit the whereabouts of the original certificate or refuses to implement the court's judgment by delivering the original certificate for transfer, at ISWHA's discretion, and in the interest of equity, the requirement of affidavit of the record owner may be waived and the current owner will be deemed eligible for a duplicate certificate.

41.3 If the present, actual owner is not ISWHA's record owner, he additionally shall provide ISWHA an affidavit from the last record owner to obtain the duplicate registration certificate in addition to a completed transfer or ownership form, if not already completed. Such affidavit shall describe the circumstances of the transfer and the identity of the person to whom the registration certificate was delivered.

41.4 If the application for the duplicate certificate contains a discrepancy to question the identity of the horse, ISWHA may require inspection, DNA analysis, and/or microchip scanning.

41.5 To issue a duplicate certificate when the record owner or authorized agent cannot be located to complete an affidavit, after all reasonable efforts by the actual owner and then by ISWHA, the following items are required:

- (a) Properly completed and signed transfer reports or acceptable bills of sale reflecting each ownership change beginning with the record owner.
- (b) Notarized affidavit signed by each party who had the original certificate in his or her possession after the record owner.
- (c) A signed statement from actual current owner giving details of all attempts to contact record owner.
- (d) Four current full-view photographs of the horse, both sides, front and rear views.
- (e) Remittance of proper fees as specified in rule xx
- (f) A set of four full-view photographs of the horse (showing each side, front, and back) identified in writing by the owner of its dam at time of foaling.



Rule 42 LEASES

42.1 For a lease of a horse to be recognized by ISWHA, written notice of such lease shall be filed with ISWHA, signed by both the record owner and lessee. The notice shall specify the effective date of lease and may provide a term no longer than three years, at the expiration of which, the lease must be re-recorded to remain effective. If the term is intended for a shorter period, it shall also provide a termination date. Termination other than expiration of lease term may be accomplished by written notice to ISWHA, specifying the termination date, signed by both leaser and lessee, or by a properly executed transfer report, which shows a change of ownership from leaser to lessee, signed by the record owner/leaser. No additional fee shall be charged for terminating the lease, whether automatic or by subsequent notice thereof.

42.2 During the effective term of the lease, ISWHA will not record subsequent changes in ownership until the lease is terminated. During the lease, only the lessee or lessee's authorized agent is authorized to apply for and sign a breeder's certificate, breeding license, stallion breeding report, frozen semen permit, frozen embryo permit, inspection application, or CAP application pertaining to the leased horses.

42.3 Enforcement against the lessee of limitation on use of the horse is solely the responsibility of the owner. The office provides a Lease Agreement for the purposes of signing authority for participation in the Competition Awards Program, as well as breeding, inspection, approval, and licensing, with the ISWHA. The ISWHA recommends that a legally binding lease agreement be used to cover all other aspects of a lease.

Rule 43 SYNDICATES

43.1 For a syndicate to be recognized by ISWHA as owner of one or more horses, the horse(s) must be transferred into the name of the syndicate with the following items:

- (a) A written report of the transfer to the syndicate name must be completed and signed by the last record owner. This transfer must be received by ISWHA, along with the certificate of registration and the proper transfer fee, as specified in rule xx.
- (b) Written authorization shall be provided to ISWHA appointing the syndicate manager and signed by the syndicate manager.
- (c) When or if changes are made to syndicate managers, written notice must be provided to ISWHA designating the new syndicate manager and signed by the new syndicate manager and the prior syndicate manager. No cancellation of the outgoing manager will be made unless written notice to this effect is received by ISWHA.

Rule 44 AUTHORIZATIONS

44.1 When a horse is owned by a syndicate, company, ranch, farm, club, corporation, university or school, family or partnership of related or unrelated persons, ISWHA must have an authorization form on file to indicate who may sign documents for that entity. Written authorization is also required when an individual owner appoints another individual to sign in his or her behalf. Authorization forms are available upon request from ISWHA at no charge.

44.2 On all ISWHA documents, except transfers as limited in rule xx ISWHA shall recognize the signature of any one of the joint owners of a horse if such owner is named on the horse's current registration certificate. Additionally, ISWHA shall recognize the signature of any individual partner when that person is shown as an individual in the partnership name.

44.3 In the case of a minor younger than 16 years of age, ISWHA requires that the legal guardian or parent of the minor co-sign all documents submitted to the ISWHA.



44.4 When the owner of a horse is deceased, ISWHA must have legal documentation on file appointing the agent or representative for the estate (letters testamentary, letters of administration, etc.) and bearing the original certification or seal of the clerk of the probate court. In the event there was no formal probate of the estate, an affidavit of heirship must be completed by the heirs and the original notarized affidavit must be submitted to the ISWHA.

44.5 Authorization of agent may be cancelled by written notification signed by the record owner or record lessee. Such termination of authorization will take effect on the date received by the ISWHA.

44.6 When an authorization is granted by a lessee, that authorization will remain in effect until the date the cancellation of the authorization or termination of lease, is received by the ISWHA.

44.6 When written authorization (on a form furnished by ISWHA upon request) has been filed in ISWHA's office, ISWHA will accept the signature of such authorized individual as sufficient to filing documents or completing transfers of ownership. The written authorization must be signed both by the record owner of the horse specified in such authorization and the person being authorized to sign a transfer.

Rule 45 TRANSFER OF OWNERSHIP

45.1 Each transfer of ownership of a registered horse shall be recorded by the ISWHA.

45.2 The seller must submit all transfer items to ISWHA immediately after sale to ensure prompt service, to reduce the possibility of losing either certificate or transfer report and to lessen the chance of complications that might make it impossible to ever record the transfer.

45.3 If a registration certificate and transfer form are delivered to ISWHA without sufficient information, the registration certificate will be retained by ISWHA until the transfer can be completed and recorded.

45.4 To record a transfer, the seller must supply ISWHA the following items:

(a) The registration certificate of a horse;

(b) A properly completed and signed ISWHA transfer report by the last recorded owner, as well as one from any subsequent unrecorded owner(s); and

(c) The required fee, as specified in rule xx, with the membership status of the buyer determining the applicable transfer fee.

45.5 ISWHA will recognize the signature of any one of the joint owners of the horse on ISWHA's transfer report if such owner is named on the horse's current registration certificate, except for a transfer by one joint owner to him or herself, which transfer shall require the signature of all joint owners. Additionally, ISWHA will recognize the signature of any partner when a partnership is a record owner. If such recognition is not to be permitted, or is to be limited otherwise, the joint owners or partnership must file with ISWHA a written agreement to that effect, specifying horse's name and registration number, and signed by all such joint owners or partners.

Rule 46 SELLER'S RESPONSIBILITY

46.1 The owner on record at time of sale has the responsibility for completing an ISWHA transfer in its entirety and for delivery of it to ISWHA.

46.2 Private Sale: The seller must provide on the transfer report the correct name and registration number of the horse, date of sale, name and address of buyer, and signature and address of seller. The seller shall immediately deliver the transfer form, along with the registration certificate, to ISWHA, along with any other documents required to complete the transfer of ownership. Payment of the required fee as specified in rule xx shall be a matter of private negotiation between the buyer and seller and may be paid by either of them, but such fee must accompany the transfer, with the membership status of the buyer determining the applicable transfer fee.



46.3 Auction Sale: For a horse sold through an auction, the seller may deliver to auction management the registration certificate, along with a properly completed transfer report, and instruct the auction representatives to obtain the name of the buyer and his address, and to send the registration certificate, the completed transfer form and required transfer fee to ISWHA on the seller's behalf. Ultimate responsibility for completion and delivery of the transfer report to ISWHA, along with any other documents required to complete the transfer of ownership to the current owner, shall continue to remain with the seller.

Rule 47 DEATH OF A HORSE

47.1 When any registered horse dies owner shall notify the ISWHA and surrender the registration certificate to ISWHA for such notation. The registration certificate will be changed to note the horse's death and returned to the owner, unless the ISWHA is otherwise directed.

47.2 When ISWHA records indicate a horse has lived 30 years past January 1 of its foaling year, it will be presumed no longer alive. ISWHA will automatically remove the horse from the inventory of living horses and its offspring conceived after that date are ineligible for registration, nor may the horse participate in ISWHA-approved events, until the owner verifies the horse is still living. Verification may require full-view color photographs and a written statement which the owner may be requested to provide on an annual basis.

Rule 48 PHOTO GUIDELINES

48.1 Having the correct photos will expedite the registration process so you can get your papers sooner. If you are requesting an update, change, or replacement certificate, you must submit new photos. If your horse was registered as a foal and you are applying for inspection, you must submit new photos. When submitting photographs of your horse, please remember to:

- (a) Use a 35 mm camera or high-quality digital camera. Avoid instant cameras because the photos tend to overexpose or fade.
- (b) Take photos on a sunny day with the sun behind the photographer.
- (c) Always send four basic views - front, rear, left side and right side.
- (d) You should include a fifth photo - a 3/4 front view clearly showing the face and all four feet. If someone is helping you, make sure they are out of this photo.
- (e) Position the horse with his legs apart so all four feet can be seen in each of the photographs.
- (f) Do not saddle or blanket your horse and make sure the horse is clean of mud and debris.
- (g) The horse being photographed should be the only horse in the photo.
- (h) Take photos on level ground - steer clear of tall grass and other obstacles which can block the view of the horse.
- (i) Pull back the forelock so head markings can be seen.
- (j) Take separate, close-up photos of unusual markings and markings that fall out of the normal marking area.
- (k) Be aware of the background. A solid background is best for light colored horses, while a dark horse shows up best with a lighter background.



Rule 49 VIDEO SUBMISSIONS

49.1 The horse must be shown in a plain snaffle bridle. Martingales, draw reins, or other devices are not permitted. Horses should be shown in a plain cavesson noseband, loose enough to fit two fingers between the jawbone and the noseband or if any doubt, remove the noseband. You must demonstrate this requirement at the start of the video. Crank nosebands are not permitted. Flash nosebands and dropped nosebands may be used depending on the discipline, the age and education of the horse. Horses should be well groomed and manes should be braided. A sample video can be found on the website. Cameras should be secured to a tripod on even ground. The horse should nearly fill the frame at all times. Proper halter showmanship technique should be used- the handler should avoid standing between the horse and the camera when the horse is being stood for inspection.

49.2 You must submit good quality, focused, video coverage of the following:

(a) In-Hand Presentation:

- (i) With the horse facing the camera, stand the horse up squarely for approximately 5 seconds. Execute a $\frac{1}{4}$ turn on the haunches to the right and hold for 5 seconds (The horse's left side is now facing the camera), complete another $\frac{1}{4}$ turn on the haunches (hindquarters now face the camera), hold for 5 seconds, complete the final $\frac{1}{4}$ turn, (horse's right side now faces the camera), hold for 5 seconds.
- (ii) Walk your horse in hand, directly to, from, and past the camera, in straight lines, for at least 12 steps each.
- (iii) Trot your horse in hand, at a medium trot, to, from, and past the camera, in straight lines, for at least 12 steps each.

(b) Ridden Work:

- (iv) Demonstrate your horse's balance, athleticism, and work ethic with a basic dressage test. The horse should be shown under saddle for approximately 3-5 minutes and must include: walk, trot, and canter, in straight lines and circles, as well as halt and rein back. If your horse is able to collect or extend its paces, please include footage. If your horse is capable of advanced work, please demonstrate for us its level of training.
- (v) Horses 4 years of age and under may show footage of the horse on the lunge line instead of under saddle. You must demonstrate in both directions: the walk, trot, extended trot, and canter. If lunging either Side reins or Portuguese Lungeing equipment are permitted. Fitting must allow some movement of the horse's head and neck position.
- (vi) We will need to see the horse jump a minimum of 4 fences of at least 2'6" in height. This may be displayed mounted, on the lunge, or free. Please video at least two fences from the side and one each front and back.

Rule 50 Fees

50.1 Proper fees must accompany all forms submitted for processing. Failure to submit proper fees will result in the forms being held until proper payment has been received. The ISWHA will notify the applicant immediately and the applicant will have 30 days to submit the proper fee. If, after 30 days the fee has not been received, the ISWHA will return the documents to the sender.

50.2 The Committee of Management will review the fee chart annually at the AGM and may alter fees at that time.

50.3 The fee chart will be published in all registration and membership information packages and be available for viewing on the website.